

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

| U.S. APPLICATION NO.                                    | FIRST | NAMED APPLICANT  | ATTY, DOCKET NO.               |
|---|-------|------------------|--------------------------------|
| 09/673222   | VALE  | R                | UCSD-04765 NAL APPLICATION NO. |
| MEDLEN & CARROLL<br>SUITE 2200<br>220 MONTGOMERY STREET |       | PCT/US99/08086   |                                |
| SAN FRANCISCO, CA 94104                                 | •     | I.A. FILING DATE | PRIORITY DATE                  |
|   |       | 13 APR 99        | 14 APR 98                      |

## $^{\text{DATE MAILED:}}~0.8~\text{NOV}~2000$ NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

| <ol> <li>is not executed in accordance with either 37 CFF does not identify the specification to which it is a does not identify the inventor(s).</li> <li>does not identify the citizenship of each inventor does not state the person making the oath or decle be the original and first inventor or inventors of patent is sought.</li> </ol> | directed.   |
|--|---|
| FAILURE TO SUBMIT AN OATH OR DECLARATION THE TIME PERIOD SET WILL RESULT IN FAILURE TO ABANDONMENT OF THE APPLICATION.   | IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN<br>O ENTER THE NATIONAL STAGE AND THE |
| Additionally, the oath or declaration does not comply with 3   | 7 CFR 1.63 in that it:  |
| 1. does not identify the city and state or city and for  | eign country of residence or each inventor.   |
| 2. does not state that the person making the oath or   | declaration:  |
| a. has reviewed and understands the contents amended by any amendment specifically ref   | of the specification, including the claims, as erred to in the oath or declaration.     |
| b. acknowledges the duty to disclose informat defined in 37 CFR 1.56.  | ion which is material to patentability as   |
| 3. does not identify the foreign application for paten claimed pursuant to 37 CFR 1.55, and any foreign the application on which priority is claimed, by specountry, day, month, and year of its filing.   | 1 application having a filing date before that of                                       |
| <ol> <li>does not state that the person making the oath or dinformation which is material to patentability as d between the filing date of the prior application and application which discloses and claims subject matapplication (37 CFR 1.63(d)).</li> </ol>  | efined in 37 CFR 1.56 which became available  |
|  | Paulette Kidwell, Paralegal   |
|  | Telephone: 703-305-3656   |

FORM PCT/DO/EO/917 (September 1996)